



Tax Residency Self-Certification for Entities

Information provided on this form may be reported to the Canada Revenue Agency, in accordance with applicable law. For more information, go to <http://www.cra-arc.gc.ca/tx/nrdsnts/nhncdrprtng/menu-eng.html>.

If you are an individual or a sole proprietorship, do not use this form, please complete and submit a Tax Residency Self-Certification for Individuals and Sole Proprietorships form.

Part I: Organization Information (Mandatory)

Legal Name of Organization		Country of Incorporation/Organization
Permanent Street Address (Do not use P.O. BOX or in-care of address)		City
Province/State	Postal Code/Zip Code	Country (Do not abbreviate)

Part II: Please check ONE of the boxes in this part and provide requested details (Mandatory)

☐ This entity **is not** a *United States Person* for United States tax purposes. This entity is a Tax Resident of the following country(s):

Country(ies) of Tax Residence	Tax ID Number(s)
-------------------------------	------------------

Note: Please complete parts III and IV.

OR

☐ This entity **is** a *United States Person* for United States tax purposes. Its financial accounts are **reportable** for FATCA purposes.

US Tax ID Number

Note: Do not complete part III. Please complete part IV.

OR

☐ This entity **is** a *United States Person* for United States tax purposes **and is exempt from FATCA reporting** because the entity is one or more of the following:

An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37), the United States or any of its agencies or instrumentalities; a state, the District of Columbia, a U.S. Commonwealth or possession, or any of their subdivisions or instrumentalities; a corporation the stock of which is regularly traded on one or more established securities markets; a corporation that is a member of an expanded affiliated group of a corporation described in regulations section 1.1472-1(c)(1)(i); a dealer in securities, commodities, or derivative financial instruments that is registered as such under the laws of the United States or any state; a real estate investment trust; a regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940; a common trust fund as defined in section 584(a); a Bank as described in section 581; a broker; a trust exempt from tax under section 664 or described in section 4947(a)(1); or a tax exempt trust under a section 403(b) plan or section 457(g) plan.

US Tax ID Number

Note: Do not complete part III. Please complete part IV.

Part III: Please check ONE of the boxes below and provide requested details (Mandatory for non-U.S. entities)

- ☐ 1. This entity is an **Active NFFE** (active non-financial non-US entity):
- an active business where less than 50% of its gross income is derived from passive (investment) income AND less than 50% of its assets are held for the production of passive income, for example, a restaurant, or retailer;
 - a corporation with shares that regularly trade on an established securities market;
 - a registered charity, or a club, association or arrangement in Canada operated exclusively for cultural, athletic or educational purposes;
 - a non-profit organization; or
 - a government or international organization or agency

Tax Residency Self-Certification for Entities

- ☐ 2. This entity is a **Passive NFFE**. (passive non-financial non-US entity) The entity derives at least 50% of its gross income from passive (investment) income OR at least 50% of its assets are held for the production of passive income, for example, a holding company, family trust, etc.

List all controlling persons or beneficiaries of a Passive NFFE that are United States Persons for tax purposes. If applicable, list additional owners or beneficiaries on a separate sheet.

Name	Address	Tax Residency (Country)	Tax ID Number

- ☐ 3. This entity is classified as a **Participating FFI** or other entity with a GIIN.

GIIN

- - -

☐ Check if GIIN has been applied for, but not yet received.

- ☐ 4. This entity is classified as a **Nonparticipating FFI**. (Withholding tax will apply, in accordance with applicable law.)
- ☐ 5. **Other Entity** classification. For example, Exempt Retirement Plan, Certified deemed compliant investment advisor and investment manager, etc.

Specify

Part IV: Signature and Date (Mandatory)

I declare that the information provided on this form (including any Tax Identification Number) is, to the best of my knowledge and belief, correct and complete. If any of this information changes, I will provide CIBC with the updated information within 30 days.

Print Name

Title

Date (MM-DD-YYYY)

X _____
Signature of individual authorized to sign